

Synthesis and Immunological Evaluation of a MUC1 Glycopeptide Incorporated into L-Rhamnose Displaying Liposomes

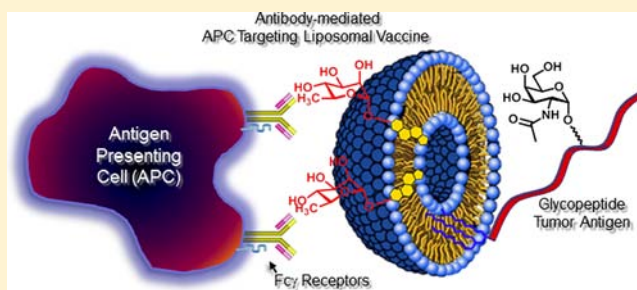
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S Supporting Information

ABSTRACT: MUC1 variable number tandem repeats (VNTRs) conjugated to tumor-associated carbohydrate antigens (TACAs) have been shown to break self-tolerance in humanized MUC1 transgenic mice. Therefore, we hypothesize that a MUC1 VNTR TACA-conjugate can be successfully formulated into a liposome-based anticancer vaccine. The immunogenicity of the vaccine should be further augmented by incorporating surface-displayed L-rhamnose (Rha) epitopes onto the liposomes to take advantage of a natural antibody-dependent antigen uptake mechanism. To validate our hypothesis, we synthesized a 20-amino-acid MUC1 glycopeptide containing a GalNAc-O-Thr (Tn) TACA by SPPS and conjugated it to a functionalized Toll-like receptor ligand (TLRL). An L-Rha-cholesterol conjugate was prepared using tetra(ethylene glycol) (TEG) as a linker. The liposome-based anticancer vaccine was formulated by the extrusion method using TLRL-MUC1-Tn conjugate, Rha-TEG-cholesterol, and 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC) in a total lipid concentration of 30 mM. The stability, homogeneity, and size characterization of the liposomes was evaluated by SEM and DLS measurements. The formulated liposomes demonstrated positive binding with both anti-Rha and mouse anti-human MUC1 antibodies. Groups of female BALB/c mice were immunized and boosted with a rhamnose-Ficoll (Rha-Ficoll) conjugate formulated with alum as adjuvant to generate the appropriate concentration of anti-Rha antibodies in the mice. Anti-Rha antibody titers were >25-fold higher in the groups of mice immunized with the Rha-Ficoll conjugate than the nonimmunized control groups. The mice were then immunized with the TLRL-MUC1-Tn liposomal vaccine formulated either with or without the surface displaying Rha epitopes. Sera collected from the groups of mice initially immunized with Rha-Ficoll and later vaccinated with the Rha-displaying TLRL-MUC1-Tn liposomes showed a >8-fold increase in both anti-MUC1-Tn and anti-Tn antibody titers in comparison to the groups of mice that did not receive Rha-Ficoll. T-cells from BALB/c mice primed with a MUC1-Tn peptide demonstrated increased proliferation to the Rha-liposomal vaccine in the presence of antibodies isolated from Rha-Ficoll immunized mice compared to nonimmune mice, supporting the proposed effect on antigen presentation. The anti-MUC1-Tn antibodies in the vaccinated mice serum recognized MUC1 on human leukemia U266 cells. Because this vaccine uses separate rhamnose and antigenic epitope components, the vaccine can easily be targeted to different antigens or epitopes by changing the peptide without having to change the other components.



INTRODUCTION

Tumor-associated carbohydrate antigens (TACAs) have found use in cancer immunotherapy as markers for cancer detection and disease progression.^{1–3} The overexpression and aberrant structural distribution of TACAs on tumor cells relative to normal cells makes them potential targets for anticancer vaccines.^{4–8} Numerous TACAs have been identified from the glycoprotein MUC1 obtained from cancer cells of epithelial origin. Some of these antigens include the Thomsen-Friedenreich (TF), Tn, STn, as well as α -2,6-sialyl-TF and α -2,3-sialyl-TF antigens.^{9–11} A recent finding made by Finn and co-workers reported that MUC1 variable number tandem repeats (VNTRs) containing TACAs were more potent at breaking self-tolerance in MUC1 transgenic mice than the unglycosylated VNTR.^{12,13} The authors believe that the

glycopeptide is a more “foreign”-like epitope in comparison to the unglycosylated MUC1, which is more “self”-like. Vaccination with TACA-containing MUC1 was successful in generating glycopeptide specific antibodies and boosting previously suppressed MUC1 specific T-cell responses. Further, they identified a population of dendritic cells (DCs) that display the VNTRs bearing the GS(GalNAc-O-T)A epitope on MHC class II molecules.

We have considered different ways in which a MUC1 glycopeptide could be formulated into an anticancer vaccine with improved immunogenicity. We believe that an interesting

Received: July 30, 2012

Revised: December 29, 2012

Published: February 27, 2013

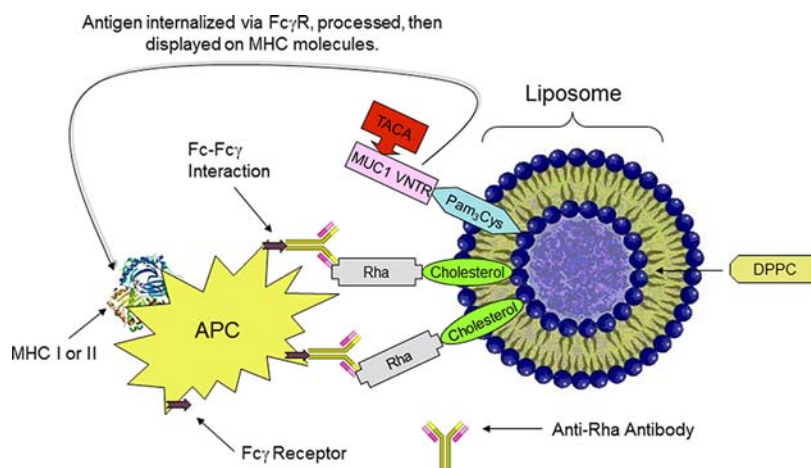


Figure 1. Schematic representation of Fc–Fc γ R interaction in the in vivo generated immune complex leading to enhanced antigen uptake by APCs, e.g., dendritic cells.

approach would be to incorporate xenoantigens onto the target antigen. The xenoantigens would complex naturally occurring cognate antibodies which would facilitate uptake of the target antigen by antigen presenting cells (APCs). Past studies have focused on the installation of α -Gal epitopes on vaccine constructs to boost the immune response with promising results,¹⁴ since human serum is abundant in anti- α -Gal antibodies.^{15–17} However, recent studies by both Bovin and Gildersleeve on human serum have revealed that even more abundant human natural anti-carbohydrate antibodies are present against the xenoantigen L-rhamnose (Rha).^{18,19} Further, anti-Rha antibodies can be generated in nontransgenic mice in contrast to anti- α -Gal antibodies.^{20,21} In this paper, we explore the Rha epitope as a noncovalently linked ligand displayed on the surface of a liposomal vaccine for enhancing the immune response against a tumor-associated glycopeptide fragment of MUC1 in mice producing anti-Rha antibodies.²¹

An important strategy for the development of successful anticancer vaccines is the efficient delivery of the antigens to the APCs.^{22,23} Liposomes have been effective in the delivery of viral, bacterial, and tumor antigens to APCs^{24,25} and have the advantage of protecting peptide-based antigens against proteolysis in vivo. Liposomes also generate multivalency in the vaccine, promoting numerous antigen–antibody interactions facilitating opsonization of the vaccine. Additional mechanisms may also involve Rha epitopes interacting with endogenous B-cell receptors (BCRs) to uptake and present antigens on B-cells.¹⁵

Vaccine immunogenicity can also be improved by the introduction of an adjuvant. Toll-like receptor ligands (TLRL), for example, have potent adjuvant activity.²⁶ Therefore, we incorporated the prototypic TLR-2 ligand, Pam₃Cys, into our vaccine synthesis to serve the dual role of adjuvant and lipid anchor to a liposome. Further, we envisioned a liposome capable of displaying Rha epitopes, the latter designed to bind endogenous anti-Rha antibodies in human serum. The resulting Ig-vaccine complex would then be taken up by APCs (Figure 1).

EXPERIMENTAL PROCEDURES

General Methods. All fine chemicals such as L-rhamnose, cholesterol, *p*-toluene sulfonyl chloride, copper sulfate, and so forth and anhydrous solvents such as anhydrous methanol were

purchased from Acros Organics. 1,2-Dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC) was obtained from Avanti Polar Lipids Inc. (Alabaster, AL). Boron trifluoride etherate was from Aldrich. The chemicals were used without further purification. All solvents were obtained from Fisher and used as received except dichloromethane, which was dried and distilled following the standard procedures.²⁷ Silica (230–400 mesh) for flash column chromatography was obtained from Sorbent Technologies; thin-layer chromatography (TLC) precoated plates were from EMD. TLCs (silica gel 60, f_{254}) were visualized under UV light or by charring (5% H₂SO₄–MeOH). Flash column chromatography was performed on silica gel (230–400 mesh) using solvents as received. ¹H NMR was recorded either on a Varian VXR 400 MHz or an INOVA 600 MHz spectrometer in CDCl₃ or CD₃OD using residual CHCl₃ and CHD₂OH as internal references, respectively. ¹³C NMR was recorded on a Varian VXR 100.56 MHz or an INOVA 150.84 MHz in CDCl₃ using the triplet centered at δ 77.273 or CD₃OD using the septet centered at δ 49.0 as internal reference. High-resolution mass spectrometry (HRMS) was performed on a TOF mass spectrometer. The peptide was synthesized on an Omega 396 synthesizer (Advanced ChemTech, Louisville, KY). Tris [(1-benzyl-1*H*-1,2,3-triazol-4-yl)methyl] amine (TBTA), preloaded Fmoc-L-Ala-Wang resin, and all other Fmoc-L-amino acids were procured from Anaspec (San Jose, CA). Ficoll 400 and Imject Alum were purchased from Sigma and Thermo Scientific, respectively. FITC goat anti-mouse IgG/IgM and purified mouse anti-human CD227 (anti-human MUC1) were obtained from BD-biosciences (San Jose, CA). Scanning electron microscope imaging was done on a JEOL JSM-7500F field scanning electron microscope. Dynamic light scattering measurements were done with a DynaPro Titan temperature controlled micro-sampler (Wyatt Technology Corporation). Fluorescence microscopy was done on a Nikon TiU microscope. All other secondary antibodies were obtained from Jackson Immuno-research Laboratories (West Grove, PA). Female BALB/c mice (6–8 weeks old) were obtained from the Jackson Laboratory (Bar Harbor, ME). U266 human leukemia cells were purchased from American Type Culture Collection (Manassas, VA).

(5-Cholesten-3 α -yloxy)-3*n*³-trixaundecanyl 2,3,4-Tri-O-acetyl- α -L-rhamnopyranoside (2). To a solution of 1,2,3,4-tetra-O-acetyl rhamnopyranose (0.64 g, 1.92 mmol) in

CH₂Cl₂ (3 mL) was added (5-cholesten-3 α -yloxy)-n³,₉-trixundecan-1-ol (1.30 g, 2.30 mmol) in CH₂Cl₂ and the mixture was cooled to 0 °C. BF₃·OEt₂ (486 mL, 3.84 mmol) was added dropwise to the reaction mixture and the resulting solution was stirred at ambient temperature under N₂ atmosphere. The reaction was monitored by TLC (EtOAc:hexanes = 1:1) and appeared complete after 18 h. The reaction mixture was diluted with CH₂Cl₂ (25 mL) and washed with saturated NaHCO₃ (25 mL), water (25 mL), and brine (25 mL), after which the organic layer was dried over anhydrous Na₂SO₄. Excess solvent was evaporated under reduced pressure and the residue was purified by silica gel flash column chromatography using 30% EtOAc in hexanes as solvent to afford **2** as a light yellow solid (0.51 g, 32%). ¹H NMR (600 MHz, CDCl₃): δ 0.67 (s, 3H, cholesterol), 0.85–1.15 (23H, cholesterol), 1.21 (d, 3H, J = 6 Hz, C-5 CH₃), 1.24–1.52 (12H, cholesterol), 1.80–1.95 (5H, cholesterol), 1.98 (s, 3H, COCH₃), 2.05 (s, 3H, COCH₃), 2.15 (s, 3H, COCH₃), 3.17 (m, 1H, -OCH-cholesterol), 3.63–3.66 (16H, -CH₂-CH₂O-TEG), 3.92 (m, 1H, H-5), 4.77 (d, 1H, J = 1.8 Hz, H-1), 5.06 (t, 1H, J = 10.2 Hz, H-4), 5.26 (dd, 1H, J = 1.8, 3.6 Hz, H-2), 5.30 (dd, 1H, J = 4.2, 9.9 Hz, H-3), 5.33 (m, 1H, -C=CH-cholesterol). ¹³C NMR (100.56 MHz, CDCl₃): δ 12.04, 17.61, 18.90, 19.58, 20.95, 21.03, 21.14, 21.25, 22.76, 23.02, 24.01, 24.48, 28.21, 28.43, 28.53, 29.90, 32.07, 32.13, 35.97, 36.37, 37.07, 37.42, 39.23, 39.70, 39.96, 42.50, 50.36, 53.63, 56.32, 56.96, 66.46, 67.30, 67.46, 69.30, 70.03, 70.24, 71.35, 79.68, 97.74 (C-1), 121.74 (C=C), 141.15 (C=C), 170.18 (COCH₃), 170.25 (COCH₃), 170.32 (COCH₃). HRMS [M + Na] m/z : calcd for C₄₇H₇₈NaO₁₂, 857.5391; found, 857.5396.

(5-Cholesten-3 α -yloxy)-3n³,₉-triundecanyl Rhamnopyranoside (3). To a solution of **2** (0.45 g, 0.54 mmol) in MeOH (10 mL) was added metallic sodium (0.03 g), and the resulting solution was stirred at ambient temperature under N₂ atmosphere. The reaction was monitored by TLC (5% MeOH in CH₂Cl₂) and appeared complete after 1 h. The solution was neutralized by Amberlite H⁺ exchange resin. Excess solvent was evaporated under reduced pressure and the residue was purified by silica gel flash column chromatography using 5% MeOH in CH₂Cl₂ as solvent to afford **3** as a yellowish white solid (0.32 g, 85%). ¹H NMR (600 MHz, CDCl₃): δ 0.68 (s, 3H, cholesterol), 0.86–1.25 (24H, cholesterol), 1.32 (d, 3H, J = 6 Hz, C-5 CH₃), 1.44–1.53 (16H, cholesterol), 2.83 (s, 1H, C-4 OH), 3.08 (d, 1H, J = 3 Hz, H-1), 3.20 (m, 1H, -O-CH-cholesterol), 3.43 (t, 1H, J = 9.6 Hz, H-4), 3.62–3.71 (16H, -CH₂-CH₂O-TEG), 3.73 (m, 1H, H-5), 3.83 (dd, 1H, J = 3, 6.9 Hz, H-3), 3.98 (s, 1H, H-2), 4.87 (s, 1H, C-2 OH), 5.31 (s, 1H, C-3 OH), 5.35 (m, 1H, -C=CH-cholesterol). ¹³C NMR (100.56 MHz, CDCl₃): δ 12.07, 17.83, 18.92, 19.60, 21.27, 22.78, 23.04, 24.03, 24.50, 28.23, 28.44 (2), 32.08, 32.15, 35.99, 36.39, 37.06, 37.39, 39.09, 39.73, 39.97, 42.53, 50.36, 56.34, 56.97, 66.74, 67.32, 68.07, 70.48, 70.63, 70.75, 70.81, 70.93, 70.97, 71.05, 71.80, 73.81, 79.87, 99.98 (C-1), 121.96 (C=C-cholesterol), 140.98 (C=C-cholesterol). HRMS [M + Na] m/z : calcd for C₄₁H₇₂NaO₉, 731.5074; found, 731.5090.

N-Propargyl Pam₂FmocCys Amide Derivative 5. Pam₂FmocCys tertiary butyl ester (0.30 g, 0.32 mmol) was dissolved in a minimum volume of neat TFA (1 mL) and stirred at ambient temperature under N₂ atmosphere. TLC (EtOAc:hexanes = 1:4) indicated the completion of the reaction after 1 h. The reaction mixture was evaporated to dryness under vacuum and the residue was dissolved in CH₂Cl₂ (3 mL). PyBOP (198 mg, 0.38 mmol), HOBt (58 mg, 0.38

mmol), DIPEA (78 μ L, 0.47 mmol), and 4 Å mol sieves (2–3 beads) were added sequentially and the mixture was stirred for 5 min at room temperature followed by the addition of propargyl amine (25 μ L, 0.38 mmol) and stirred at ambient temperatures under N₂ atmosphere. The reaction was monitored by TLC (EtOAc:hexanes = 1:4) and appeared complete after 4 h. The reaction mixture was filtered, washed with phosphate buffer (10 mL), and extracted with CH₂Cl₂ (3 \times 10 mL). The organic layer was dried over anhydrous Na₂SO₄ and concentrated. The residue was purified by silica gel flash column chromatography using EtOAc–hexanes (1:4) as solvent to afford **5** as a white solid (192 mg, 66%). ¹H NMR (600 MHz, CDCl₃): δ 0.87 (t, 6H, J = 7.2 Hz, Pam-CH₃), 1.14–1.65 (m, 52H, Pam-CH₂), 1.68 (s, 1H, alkyne-CH), 2.18–2.35 (m, 4H, COCH₂), 2.83 (m, 1H, Cys-CHH), 2.89 (dd, 1H, J = 7.2, 14.4 Hz, S-glyceryl-O-CHH), 3.01 (dd, 1H, J = 6, 14.4 Hz, Cys-CHH), 4.06 (dd, 1H, J = 3, 4.8 Hz, S-glyceryl-O-CHH), 4.08 (s, 2H, CO-NH-CH₂), 4.18 (dd, 1H, J = 6, 11.4 Hz, S-glyceryl-O-CHH), 4.23 (t, 1H, J = 7.2 Hz, Fmoc-CH), 4.39 (m, 1H, NH-CH-CO), 4.42 (m, 2H, Fmoc-CH₂), 5.12 (m, 1H, S-glyceryl-O-CH), 5.73 (d, 1H, J = 7.8 Hz, Pam-NH), 6.89 (s, 1H, CO-NH-CH₂), 7.31–7.81 (m, 8H, Fmoc-ArH). ¹³C NMR (150.84 MHz, CDCl₃): δ 14.35–36.70 (30C, Pam-C), 47.29 (2), 53.32, 63.58, 67.48, 70.61, 71.78, 72.07, 79.09, 79.85, 120.22, 125.30, 127.30 (2), 127.97 (2), 141.49, 141.50, 143.85, 143.89 (Aromatic-C), 170.07, 173.66 (2), 174.04 (Cys-CO). HRMS [M + Na] m/z : calcd for C₅₆H₈₆N₂NaO₇S, 953.6053; found, 953.6073.

N-Propargyl Pam₃Cys Amide Derivative 6. Compound **5** (192 mg, 0.21 mmol) was dissolved in a mixture of CH₃CN–CH₂Cl₂–Et₃NH (2:1:2, 2.50 mL) and stirred at ambient temperature under N₂ atmosphere. TLC (EtOAc:hexanes = 1:4) indicated the complete deprotection of the Fmoc group after 2 h. The reaction mixture was evaporated to dryness under vacuum. Palmitic acid (64 mg, 0.25 mmol), PyBOP (128 mg, 0.25 mmol), and HOBt (38 mg, 0.25 mmol) were dissolved in CH₂Cl₂ (3 mL) followed by the addition of DIPEA (51 μ L, 0.31 mmol). The mixture was stirred for 5 min and added to the residue of the Fmoc deprotected product from compound **5** containing 4 Å mol sieves (2–3 beads). The reaction mixture was stirred at ambient temperature under N₂ atmosphere. The reaction was monitored by TLC (EtOAc:hexanes = 1:4) and appeared complete after 4 h. The reaction mixture was diluted with CH₂Cl₂ (15 mL), filtered, and evaporated to dryness. The residue was purified by silica gel flash column chromatography using EtOAc–hexanes (1:4) as solvent to afford **6** as a pale yellow solid (156 mg, 80%). ¹H NMR (600 MHz, CDCl₃): δ 0.88 (t, 12H, J = 6.6 Hz, Pam-CH₃), 1.10–1.63 (m, 78H, Pam-CH₂), 2.23 (s, 1H, Alkyne-CH), 2.24–2.36 (m, 6H, COCH₂), 2.71 (dd, 1H, J = 7.8, 14.4 Hz, Cys-CHH), 2.86 (m, 6H, COCH₂), 2.95 (dd, 1H, J = 6, 14 Hz, Cys-CHH), 4.06 (m, 2H, CO-NH-CH₂), 4.18 (dd, 1H, J = 6.6, 12 Hz, S-glyceryl-O-CHH), 4.40 (dd, 1H, J = 3, 12 Hz, S-glyceryl-O-CHH), 4.64 (q, 1H, J = 6 Hz, NH-CH-CO), 5.12 (m, 1H, S-glyceryl-OCH), 6.64 (d, 1H, J = 8.4 Hz, Pam-NH), 7.02 (t, 1H, J = 5.4 Hz, CO-NH-CH₂). ¹³C NMR (150.84 MHz, CDCl₃): δ 14.35–42.19 (48C, Pam-C, Cys-C β , S-glyceryl-C, NH-C), 51.30 (Cys-C α), 63.65, 70.61, 71.98, 79.08, 170.40 (Cys-CO), 173.70, 173.86, 174.06 (Pam-CO). HRMS [M + Na] m/z : calcd for C₅₇H₁₀₆N₂NaO₆S, 969.7669; found, 969.7682.

Glycopeptide Azide 9. The glycopeptide azide was synthesized by Fmoc strategy on an Omega 396 synthesizer (Advanced ChemTech, Louisville, KY) using solid-phase

chemistry. The peptide synthesis was performed by coupling amino acid esters of HOBT using DIC as the coupling agent. A 6-fold excess of *N*^α-Fmoc amino acid esters of HOBT in NMP were used in the synthesis. A 1:1 ratio of amino acid to DIC was used in all the coupling reactions. Deprotection of the *N*^α-Fmoc group was accomplished by treatment with 25% piperidine in dimethylformamide twice: first for 5 min and then a second time for 25 min. After the synthesis was complete, the peptide was cleaved from the solid support and deprotected using a modified reagent K cocktail consisting of 88% TFA, 3% thioanisole, 5% ethanedithiol, 2% water, and 2% phenol. 4 mL of cleavage cocktail was added to the dried peptide-resin in a 15 mL glass vial blanketed with nitrogen. Cleavage was carried out for 2.5 h with gentle magnetic stirring. At the end of the cleavage time, the cocktail mixture was filtered on a Quick-Snap column. The filtrate was collected in 20 mL ice-cold butane ether. The peptide was allowed to precipitate for an hour at -20°C , centrifuged, and washed twice with ice-cold methyl-*t*-butyl ether. The precipitate was dissolved in 25% acetonitrile and lyophilized to complete dry powder. Quality of peptides was analyzed by analytical reverse-phase HPLC and MALDI-TOF (matrix assisted laser desorption ionization time-of-flight) mass spectrometer, model 4800 from Applied Biosystems. HR-MALDI-MS: $[\text{M}+\text{H}]^+$ m/z calcd for $\text{C}_{100}\text{H}_{155}\text{N}_{29}\text{O}_{37}$, 2355.1172; found, 2355.1753.

Glycopeptide Azide 10. Compound **9** (5 mg, 2.24 μmol) was dissolved in 2 mL of dry methanol, and 12 μL of freshly prepared 1 M sodium methoxide was added and the reaction mixture was stirred at ambient temperature under N_2 atmosphere for 2 h. The reaction mixture was neutralized with solid carbon dioxide. The reaction mixture was concentrated and purified by Bio-Gel (P-2, fine 45–90 μm) size exclusion chromatography using deionized water as solvent. Lyophilization of the elutants afforded **10** as a white powder (4.7 mg, 100%). HR-MALDI-MS: $[\text{M}+\text{H}]^+$ m/z calcd for $\text{C}_{94}\text{H}_{149}\text{N}_{29}\text{O}_{34}$, 2229.0895; found, 2229.0959.

Lipopeptide 11. $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ (134 μg , 0.54 μmol) and TBTA (2.14 mg, 4.04 μmol) were dissolved in H_2O –THF (1:1, 0.40 mL), and to it Na-ascorbate (0.80 mg, 4.04 μmol) was added and stirred for 5 min. Compound **6** (1.27 mg, 1.35 μmol) in THF (0.40 mL) was added to the reaction mixture and stirred for 15 min followed by the addition of a solution of compound **10** (1 mg, 0.45 μmol) in H_2O –MeOH (1:3, 0.4 mL). The reaction mixture was stirred at ambient temperature under N_2 atmosphere for 40 h. The reaction mixture was concentrated, dissolved in CH_2Cl_2 –MeOH (1:1), and purified by a short LH 20 size exclusion column using CH_2Cl_2 –MeOH (1:1) as solvent. Lyophilization of the eluants afforded **11** as a white solid (1.9 mg, 100%). HR-MALDI-MS: $[\text{M}+\text{H}]^+$ m/z calcd for $\text{C}_{151}\text{H}_{255}\text{N}_{31}\text{O}_{40}\text{S}$, 3175.593; found, 3175.425. A mass peak corresponding to a protonated methyl ester of the product was also observed.

Liposome Formulation. Lipid stock solutions were prepared by dissolving each lipid into chloroform inside glass vials. Aliquots of the stock solutions were mixed in proportions in another small glass vial to give a solution with a total lipid concentration of 30 mM in a total volume of 2 mL (Batch 1: DPPC 80%, Cholesterol 10%, Rha-cholesterol 10%, and Pam₃Cys-MUC1-Tn 0.69 μM ; Batch 2: DPPC 80%, cholesterol 20%, Pam₃Cys-MUC1-Tn 0.69 μM ; Batch 3: DPPC 80%, cholesterol 20%). Chloroform was removed by subjecting the lipid solutions to a constant stream of nitrogen. The resulting lipid films were dried under vacuum overnight. The dried lipid

films were hydrated with 2 mL of HEPES buffer (pH = 7.4). The suspensions of the lipids in the buffer were agitated at 43°C for 40 min. The suspensions were subjected to 10 freeze–thaw cycles (dry ice/acetone and water at 40°C). Final liposomes were prepared by extrusion (21 times) using a LipoFast Basic fitted with a 100 nm polycarbonate membrane to control the liposome size.

LIPOSOME CHARACTERIZATION

Liposome Size Characterization. Size determination of the liposomes was done by scanning electron microscope (SEM) imaging and dynamic light scattering (DLS) measurements. For SEM characterization, the liposome samples were diluted 1000 times with HEPES buffer (pH = 7.4) and freeze–dried over copper studs fitted with a carbon conducting tape and the images recorded at an acceleration voltage of 5 kV. DLS measurements were done after dilution of the liposome samples 10 000 times with HEPES buffer (pH = 7.4).

Anti-Rha and Anti-MUC1 Antibody Binding to Surface-Exposed Rha and MUC1 Epitopes on Liposomes.

One million liposomes from each batch in 50 μL phosphate buffered saline (PBS) were added separately into a 1.5 mL Eppendorf tube followed by 50 μL of primary antibody solution, prepared by dilution in deionized water containing 5–50 $\mu\text{g}/\text{mL}$ of antibodies [either control IgG (isolated from the serum of nonimmunized mice) or anti-Rha IgG isolated from the serum of Rha-ovalbumin immunized mice²¹ or mouse antihuman CD227 monoclonal antibodies (antihuman MUC1)] and incubated on ice for 30 min. 1 mL PBS–0.1% Tween was added to each tube and vortexed. Liposomes were centrifuged at 14 000 rpm in an Eppendorf centrifuge at 4°C for 5 min. The supernatants were carefully discarded and the washing and centrifugation steps were repeated 2 more times for a total of 3 washes. Liposomes were then resuspended in 50 μL of PBS–0.1% Tween. 50 μL of diluted FITC goat antimouse IgG/IgM secondary antibody was added (2–30 $\mu\text{g}/\text{mL}$) to the tubes, mixed, and covered with aluminum foil to protect from light and incubated on ice for 30 min. After washing 3 times with PBS–0.1% Tween and centrifugation, the supernatants were removed and pellets were resuspended in 1 mL PBS–0.1% Tween. 10 μL aliquots of the resuspended solutions were put on glass slides and imaged under a fluorescence microscope.

2-Azidoethyl-2,3,4-Tri-O-acetyl- α -L-rhamnopyranoside (13). To a solution of 1,2,3,4-tetra-O-acetyl-rhamnopyranoside (**12**) (2.00 g, 6.02 mmol) in CH_2Cl_2 (5.00 mL) were added 2-azidoethanol (0.79 g, 9.03 mmol) and $\text{BF}_3 \cdot \text{OEt}_2$ (1.53 mL, 12.04 mmol) at 0°C , and the resulting solution was stirred at ambient temperature under N_2 atmosphere. The reaction was monitored by TLC and appeared to be complete after 12 h. The reaction mixture was diluted with CH_2Cl_2 (15 mL) and washed with water (2×20 mL), saturated NaHCO_3 (2×20 mL), and brine (20 mL), after which the organic layer was dried over anhydrous Na_2SO_4 . Excess solvent was removed under reduced pressure and the crude material was purified by silica gel flash column chromatography (3.3×8.5 cm). Elution with 1:5 EtOAc/hexanes afforded **13** as a colorless solid (1.78 g, 83%). ^1H NMR (600 MHz, CDCl_3): δ 1.24 (d, 3H, J = 6.6 Hz, C-5 CH_3), 1.99 (s, 3H, COCH_3), 2.06 (s, 3H, COCH_3), 2.16 (s, 3H, COCH_3), 3.42 (m, 1H, $-\text{CHH}-\text{N}_3$), 3.48 (m, 1H, $-\text{CHH}-\text{N}_3$), 3.64 (m, 1H, $-\text{O}-\text{CHH}$), 3.87 (m, 1H, $-\text{O}-\text{CHH}$), 3.93 (m, 1H, H-5), 4.79 (d, 1H, J = 1.8 Hz, H-1), 5.09 (t, 1H, J = 10.2 Hz, H-4), 5.27 (dd, 1H, J = 1.2, 3.3 Hz, H-2), 5.31 (dd,

1H, $J = 3.3, 9.9$ Hz, H-3). ^{13}C NMR (100 MHz, CDCl_3): δ 17.66 (CH_3), 20.93, 21.03, 21.13, 50.58, 66.91, 66.99, 69.08, 69.87, 71.09, 97.79 (C-1), 170.09 (C=O), 170.24 (C=O), 170.30 (C=O). HRMS [$\text{M} + \text{Na}$] m/z : calcd for $\text{C}_{14}\text{H}_{21}\text{N}_3\text{O}_8$, 382.1226; found, 382.1215.

2-Azidoethyl α -L-Rhamnopyranoside (14). To a solution of **13** (1.53 g, 4.26 mmol) in MeOH (5 mL) was added metallic Na (0.01 g), and the resulting solution was stirred at ambient temperature under N_2 atmosphere. The reaction was monitored by TLC and appeared complete after 2 h. Excess solvent was removed under reduced pressure and the crude material was purified by silica gel flash column chromatography (3.3×8.5 cm). Elution with 2:23 MeOH/ CH_2Cl_2 yielded **14** as a colorless solid (0.85 g, 86%). ^1H NMR (600 MHz, CDCl_3): δ 1.34 (d, 3H, $J = 6.6$ Hz, C-5 CH_3), 3.41 (m, 2 H, $-\text{CH}_2-\text{N}_3$), 3.49 (t, 1 H, $J = 9.3$ Hz, H-4), 3.63 (m, 1H, $-\text{O}-\text{CHH}$), 3.69 (m, 1H, H-5), 3.81 (dd, 1H, $J = 3.3, 9.3$ Hz, H-3), 3.89 (m, 1H, $-\text{O}-\text{CHH}$), 3.99 (q, 1H, $J = 1.6$ Hz, H-2), 4.83 (d, 1H, $J = 1.2$ Hz, H-1). ^{13}C NMR (100 MHz, CDCl_3): δ 17.75 (CH_3), 50.71, 66.72, 68.49, 70.98, 71.76, 73.27, 100.02 (C-1). HRMS [$\text{M} + \text{Na}$] m/z : calcd for $\text{C}_8\text{H}_{15}\text{N}_3\text{O}_5$, 256.0909; found, 256.0906.

2-Aminoethyl α -L-Rhamnopyranoside (15). To a solution of **14** (0.42 g, 1.82 mmol) in MeOH (3 mL) was added activated Pd/charcoal (0.025 g), and the resulting solution was stirred at ambient temperature under H_2 atmosphere. The reaction was monitored by TLC and appeared to be complete after 12 h. The reaction mixture was diluted with MeOH (2 mL), filtered through Celite, and concentrated under reduced pressure to yield **15** as a colorless gel (0.46 g, quantitative), which was used without further purification for subsequent reactions. ESIMS [$\text{M} + \text{H}$] m/z : calcd for $\text{C}_8\text{H}_{17}\text{NO}_5$, 208.2243; found, 208.30.

2-Aminoethyl α -L-Rhamnopyranoside-Ficoll Conjugate (16). Ficoll 400 (1.00 g, 0.0025 mmol) was dissolved in acetate buffer (10 mL, pH 4.7), and NaIO_4 (0.01 g, 0.047 mmol) was added and the reaction mixture was stirred at ambient temperature for 2 h in the dark. Excess NaIO_4 was removed by dialysis against the acetate buffer (pH 4.7) through dialysis tubing with a molecular weight cutoff value of 10 000 Da with six to seven changes of the buffer at 4 °C. The oxidized Ficoll 400 was transferred to a round-bottom flask, and excess solvent was evaporated to dryness under reduced pressure. The residue was dissolved in borate buffer (20 mL, pH 8.0) followed by the addition of **15** (0.05 g, 0.25 mmol) and stirred at ambient temperature for 2 h. To the reaction mixture was added NaBH_3CN (0.094 g, 1.50 mmol) and the resulting solution was incubated overnight at 4 °C. The mixture was dialyzed through dialysis tubing with a molecular weight cutoff value of 10 000 Da with six to seven changes in buffer at 4 °C to afford **16**. The epitope ratio of **16** was calculated to be 9.44 (Rha:Ficoll) by hydrolysis of **16** followed by derivatization with 4-amino-N-[2-(diethylamino)ethyl] benzamide (DEAEAB) and comparison of the UV-HPLC peak area with a standard curve obtained from DEAEAB derivative of **14** by the methods described by Dalpathado and co-workers. Briefly, the standard curve was generated by refluxing compound **14** (0.007 g, 0.031 mmol) with 1 N HCl at 100 °C for 4 h and the reaction mixture was evaporated to dryness. The residue was dissolved in tetrahydrofuran (2 mL), and DEAEAB (0.011 g, 0.037 mmol) and Et_3N (0.007 mL, 0.046 mmol) were added and the resulting solution was refluxed for 2 h. The reaction mixture was evaporated to dryness and the residue was dissolved in MeOH (2 mL) followed by the addition of $\text{NaB}(\text{OAc})_3\text{H}$, and

the resulting solution refluxed for 8 h. The solution was evaporated to dryness, and the residue was dissolved in MeOH (2 mL) and filtered through a syringe filter. Serial dilutions from this stock solution were prepared and the components were separated on a reverse-phase HPLC using a C18 column. Water containing 0.1% TFA (A) and 95% ACN/ H_2O (B) were used as the mobile phases using a linear gradient (5–20% B in 20 min) at the flow rate of 1 mL/min. Absorbances were recorded at 289 nm. The standard curve was generated by plotting the UV-HPLC peak area against the concentration in mmol of DEAEAB derivative of **14**.

T-Cell Proliferation Study. Immunization. One female BALB/c mouse (6–8 weeks old, The Jackson Laboratory) was primed (day 0) and boosted three times (days 14, 28, and 42) with 100 μL subcutaneous injections of an equivolume emulsion of the MUC1-Tn conjugate **10** (prepared in phosphate buffer saline-PBS) and Sigma Adjuvant System (SAS) (50 μg of peptide per mouse, each injection).

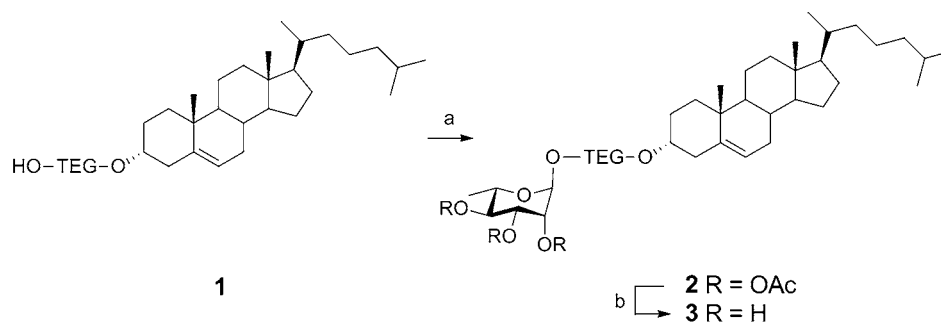
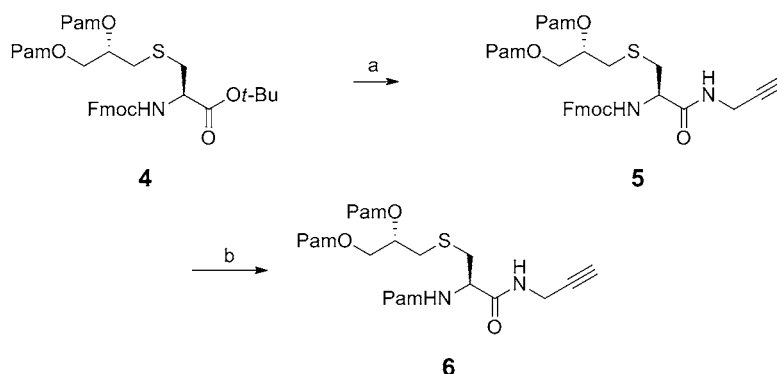
Preparation of Anti-Rha Antibodies. The Rha-Ficoll and the Rha-OVA immunized mice (Supporting Information) were bled on day 66 and the sera were pooled. IgG fractions from each pool were prepared by precipitation at 40% saturation of ammonium sulfate. The mixtures were incubated overnight and centrifuged at 10 000g for 10 min and then resuspended in 0.5 mL water. The antibody solutions were concentrated and buffer was changed twice with PBS using an Ultrafree 0.5 centrifugal filter device (Millipore, Billerica, MA) having a molecular cutoff of 50 000 D. Absorbances of the antibody solutions were recorded at 280 nm to calculate the concentrations and the anti-Rha antibody solutions generated and isolated from the Rha-Ficoll, and the Rha-OVA immunized mice were each diluted to 1.0 mg/mL.

Preparation of Spleen Cell Suspensions and Assay Setup. On day 49, the mouse was sacrificed and the spleen was removed and placed in 5 mL of freshly prepared spleen cell culture medium (DMEM with 10% fetal calf serum). Single cell suspension was prepared using modified sterile glass homogenizers. The cells were washed three times with culture medium and brought to 5×10^6 cells/mL. 100 μL aliquots of the spleen cell suspensions were added to 96 well plates (5×10^5 cells per well). The dendritic cell (DC) suspension cultured from the bone marrow of a BALB/c mouse (Supporting Information) was pulsed with the antigen by incubating with the Rha-displaying MUC1-Tn liposomes at antigen concentrations of 8.8×10^{-3} to 1.1 $\mu\text{g}/\text{mL}$ at 37 °C for 4 h together with anti-Rha antibodies generated from either Rha-Ficoll or Rha-OVA immunized mice sera (5 μg per well) or with control antibodies isolated from nonimmunized mice serum. 100 μL aliquots of the pulsed DCs were added to the wells containing the spleen cells (5×10^4 DCs per well). The plates were incubated at 37 °C for 4 days. On day 4, the cells were pulsed with [^3H]-thymidine (40 $\mu\text{Ci}/\text{mL}$, 25 μL per well) and incubated overnight at 37 °C. The cells were harvested on glass-fiber filters and incorporation was determined by measurements on a Top Count scintillation counter (Packard, Downers Grove, IL).

Immunizations. The 20 female BALB/c mice used for this study were divided into four groups A1, A2, B1, and B2 containing 5 mice each. Groups A1 and B1 served as the control groups and were not immunized. Groups A2 and B2 were injected subcutaneously (day 0) with a 100 μL equivolume emulsion of Rha-Ficoll conjugate **16** and Alum (100 μg of Rha-Ficoll per mouse). The mice were boosted with 100 μL subcutaneous injections of Rha-Ficoll/Alum on days 14,

Scheme 1. Synthesis of Rha-TEG-Cholesterol and Alkyne Functionalized Pam₃Cys^a

A. Synthesis of L-Rhamnose-TEG-Cholesterol


 B. Synthesis of Alkyne Functionalized Pam₃Cys


^aReagents and conditions: (A) (a) peracetyl L-rhamnose, BF₃·OEt₂, CH₂Cl₂, 0 °C -r.t., 18 h, 32%; (b) NaOMe, MeOH, r.t., 1 h, 85% [TEG = CH₂CH₂(OCH₂CH₂)₃]; (B) (a) (i) TFA, r.t., 1 h; (ii) propargylamine, PyBOP, HOBT, DIPEA, 4 Å mol. sieves, CH₂Cl₂, r.t., 4 h, 66% (2 steps); (b) (i) CH₃CN-CH₂Cl₂-Et₃NH (2:1:2), r.t., 2 h; (ii) PamOH, PyBOP, HOBT, DIPEA, CH₂Cl₂, 4 Å mol sieves, r.t., 4 h, 80% (2 steps) [Pam = CH₃(CH₂)₁₄CO].

28, 42, and 56 (100 µg of Rha-Ficoll per mouse, each boost). The mice in each groups A1, A2, B1, and B2 were bled on day 66 and the collected sera was tested for anti-Rha antibodies.

ELISA for Measuring Anti-Rha Antibody Titers. 96-well plates (Immulon 4 HBX) were coated with Rha-BSA conjugate **6** (2 µg/mL) in 0.01 M PBS and incubated overnight at 4 °C. The plates were washed 5 times with PBS containing 0.1% Tween-20. Blocking was achieved by incubating the plates for 1 h at room temperature with BSA in 0.01 M PBS (1 mg/mL). The plates were then washed 5 times and incubated for 1 h with serum dilutions in PBS. Unbound antibody in the serum was removed by washing and the plates were incubated for 1 h at room temperature with Horseradish Peroxidase (HRP) goat antimouse IgG + IgM (Jackson ImmunoResearch Laboratories) diluted 5000 times in PBS/BSA. The plates were washed and TMB (3,3',5,5'-tetramethylbenzidine) one component HRP microwell substrate (Bio FX, Owings Mills, MD) was added and allowed to react for 10 min. Absorbances were recorded at 620 nm and were plotted against log₁₀ [1/serum dilution].

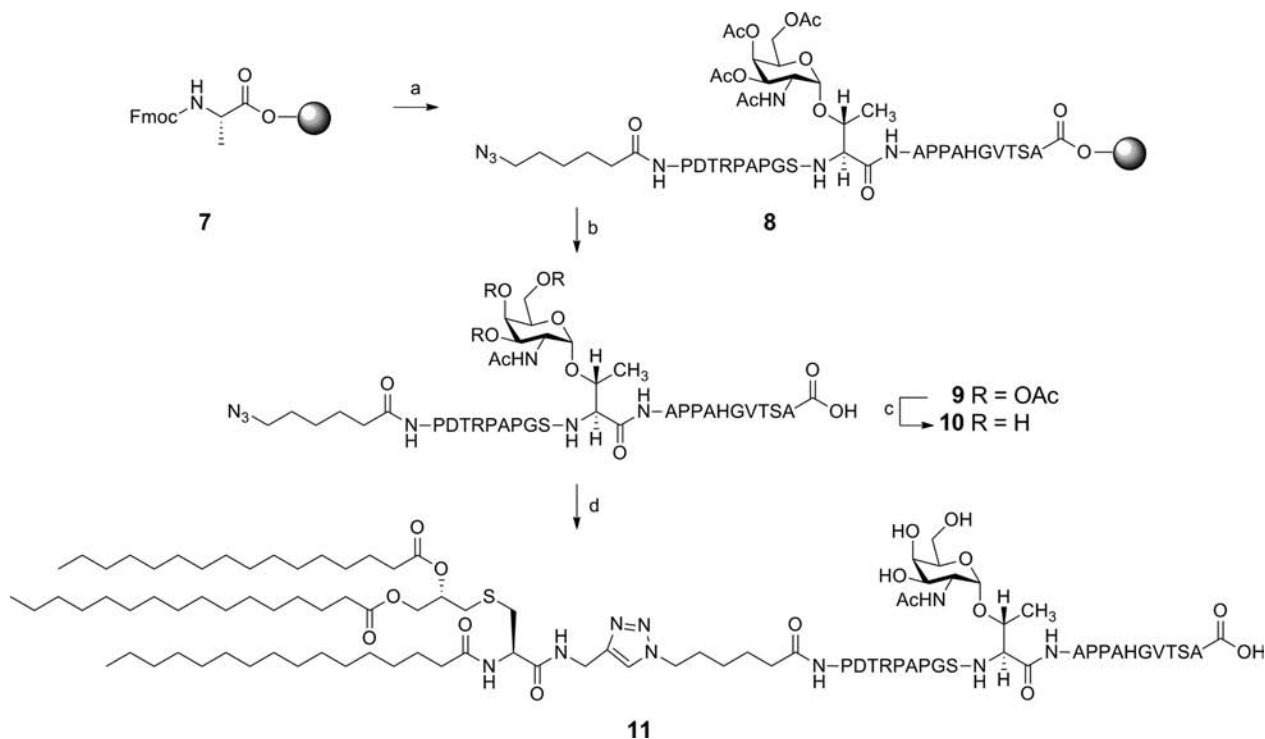
Vaccinations. Vaccination was performed on day 77. Two separate liposomal formulations were prepared with DPPC (80%), cholesterol (20%), and Pam₃Cys-MUC1-Tn **11** (2 nmol) (Pam₃Cys-MUC1-Tn liposomes) and DPPC (80%), cholesterol (10%), Rha-TEG-cholesterol **3** (10%), and Pam₃Cys-MUC1-Tn **11** (2 nmol) (Pam₃Cys-MUC1-Tn + Rha liposomes) in total lipid concentrations of 30 mmol. Groups A1 and A2 were vaccinated with 100 µL subcutaneous injections of the Pam₃Cys-MUC1-Tn liposomes (2 nmol of peptide per mouse), and groups B1 and B2 were vaccinated with 100 µL

subcutaneous injections of the Pam₃Cys-MUC1-Tn + Rha liposomes (2 nmol peptide per mouse). The mice were boosted on day 91 with either the Pam₃Cys-MUC1-Tn liposomes (groups A1 and A2, 2 nmol peptide per mouse) or the Pam₃Cys-MUC1-Tn + Rha liposomes (groups B1 and B2). The mice were bled on day 101 and the sera collected were tested for anti-MUC1-Tn and anti-Tn antibodies.

ELISA for Measuring Anti-MUC1-Tn Antibody Titers. 96-well plates (Immulon 4 HBX) were coated with MUC1-Tn conjugate **10** (15 µg/mL) in 0.01 M PBS and incubated overnight at 4 °C. The ELISA was continued as described above.

ELISA for Measuring Anti-Tn Antibody Titers. 96-well plates (Immulon 4 HBX) were coated with Tn-BSA conjugate (15 µg/mL) in 0.01 M PBS and incubated overnight at 4 °C. The ELISA was continued as described above.

Anti-MUC1-Tn Antibody Subclass Identification. 96-well plates (Immulon 4 HBX) were coated with MUC1-Tn conjugate **10** (15 µg/mL) in 0.01 M PBS and incubated overnight at 4 °C. The plates were washed 4 times with PBS containing 0.1% Tween-20. Blocking was achieved by incubating the plates for 1 h at room temperature with BSA in 0.01 M PBS (1 mg/mL). The plates were then washed 4 times and incubated for 1 h with 1/100 serum dilution in PBS. Unbound antibody in the serum was removed by washing and the plates were incubated overnight at 4 °C with subclass specific (IgG1, IgG2a, IgG2b, IgG3, IgA, and IgM) rabbit antimouse antibody (Zymed Laboratories mouse monoAb-ID kit). The plates were washed and incubated with HRP-goat

Scheme 2. Synthesis of Pam₃Cys-MUC 1-Tn Conjugate^a


^aReagents and conditions: (a) (i) 25% piperidine, DMF, r.t., 30 min; (ii) HOBt, DIC, NMP, FmocNH-Ser(Ot-Bu)-OH, repeat steps with T, V, G, H, A, P, P, A, T(Ac₃GalNAc), S, G, P, A, P, R, T, D, P, 6-azido-hexanoic acid; (b) 88% TFA, 3% thioanisole, 5% ethanedithiol, 2% water, and 2% phenol; (c) NaOMe, MeOH, r.t., 2 h, 100%; (d) **6**, CuSO₄·5H₂O, Na ascorbate, TBTA, water-methanol-THF (1:1:2), r.t., 40 h (100%).

anti-rabbit IgG (H+L) for 1 h at room temperature. The plates were washed and ABTS substrate buffer (diluted 50 times) was added and allowed to react for 30 min. Absorbances were recorded at 405 nm and compared for each antibody subclass in each group.

ELISA for Competitive Binding with Free MUC1-Tn. A 96-well plate (Immulon 4 HBX) was coated with MUC1-Tn conjugate **10** (15 µg/mL) in PBS and incubated overnight at 4 °C. The plate was washed 5 times with PBS containing 0.1% Tween-20. Blocking was achieved by incubating the plate for 1 h at room temperature with BSA in M PBS (1 mg/mL). The plate was then washed 5 times and incubated for 1 h with serum dilutions of 1/100 in PBS with or without prior mixing with varying concentrations of free MUC1-Tn (compound **10**) from 0, 10⁻⁵, 10⁻⁴, and 10⁻³ M in PBS. Unbound antibody in the serum was removed by washing and the plate was incubated for 1 h at room temperature with Horseradish Peroxidase (HRP) goat antimouse IgG + IgM (secondary antibody) diluted 5000 times in PBS/BSA. The plate was washed, and TMB 1 component HRP microwell substrate was added and allowed to react for 10 min. Absorbances were recorded at 620 nm and were plotted against log₁₀ [1/free Tn concentration].

Tumor Cell Staining. U266 cells (American Type Culture Collection, Manassas, VA) were cultured in RPMI 1640 with 15% fetal calf serum (FCS). Cells were stained with purified mouse anti-human MUC1 antibodies (CD227, 0.5 µg), nonimmune BALB/c mice serum (1/5 dilution), and group B2 mice serum (1/5 dilution). The cells were then stained with FITC-conjugated goat anti-mouse IgG + IgM (0.5 µg) and fluorescence was quantified with a BD FACS Calibur.

RESULTS AND DISCUSSION

Synthesis of Rha-TEG-Cholesterol. To validate our hypothesis we first synthesized an L-Rha-cholesterol conjugate using TEG as a linker which is reported to facilitate the formation of small-sized homogeneous liposomes and allows good binding interaction of the headgroup^{28,29} (Scheme 1A). Cholesterol tetraethylene glycol **1**¹⁶ was glycosylated with peracetyl rhamnose in the presence of boron trifluoride etherate to afford peracetyl rhamnose-TEG-cholesterol **2** (32%) which was deacetylated under Zemplén conditions to generate Rha-TEG-cholesterol **3** (85%).¹⁰ In a liposomal formulation, the cholesterol fragment in **3** will anchor the Rha epitopes on the surface of the liposomes, thereby facilitating anti-Rha antibody binding.

Synthesis of Alkyne Functionalized Pam₃Cys. Our next target was to synthesize a functionalized Toll-like receptor ligand (TLRL) which will serve the purpose of an immunoadjuvant for our vaccine candidate and also anchor the MUC1-Tn conjugate on the surface of the liposome. We focused our attention toward the synthesis of a conjugable form of the lipopeptide S-[(R)-2,3-dipalmitoyloxy-propyl]-N-palmitoyl-(R)-cysteine (Pam₃Cys) which has been identified as a TLR-2 agonist and has been successfully used in the past as an immunoadjuvant in the design of three-component vaccines.^{30,31}

We planned to incorporate an alkyne functionality through an amide linkage at the C-terminal of Pam₃Cys which can be conjugated to an azide moiety on a MUC1-Tn construct by a simple copper-catalyzed “click reaction”. To synthesize a conjugatable Pam₃Cys alkyne (Scheme 1B), first the *tert*-butyl protection of *O*-palmitoylated Fmoc L-cysteine *tert*-butyl ester **4**^{30,31} was cleaved by a brief treatment with trifluoroacetic acid

(TFA). The free acid was coupled with propargyl amine in the presence of benzotriazol-1-yl-oxytripyrrolidinophosphonium hexafluorophosphate (PyBOP), 1-hydroxy-benzotriazole (HOBt), and *N,N*-diisopropylethylamine (DIPEA) to yield **5** (66% over 2 steps).^{32,33} Finally, the Fmoc group in compound **5** was removed by treatment with a mixture of acetonitrile–dichloromethane–diethyl amine (2:1:2) followed by subsequent palmitoylation by coupling with palmitic acid, PyBOP, HOBt, and DIPEA to afford our target alkyne-functionalized Pam₃Cys amide derivative **6** (80% over 2 steps).^{33,34}

Synthesis of Pam₃Cys-MUC1-Tn Conjugate. For synthesis of the MUC1-Tn construct, we targeted the 20-amino-acid tandem repeat of MUC1 which included the GS(GalNAc-O-T)A epitope identified by Finn et al.^{12,13} We planned to install a terminal azido group into the glycopeptide which would make the “click” conjugation to the Pam₃Cys alkyne feasible. The glycopeptide azide was synthesized by Fmoc strategy on an Omega 396 synthesizer (Advanced ChemTech, Louisville, KY) starting from preloaded Fmoc-L-Ala Wang resin using solid-phase chemistry (Scheme 2). The peptide synthesis was performed by coupling amino acid esters of HOBt using DIC as the coupling agent. A 6-fold excess of *N*^α-Fmoc amino acid esters of HOBt in NMP were used in the synthesis. A 1:1 ratio of amino acid to DIC was used in all the coupling reactions. Deprotection of *N*^α-Fmoc group was accomplished by treatment with piperidine in DMF. After the synthesis was complete, the peptide was cleaved from the solid support and deprotected using a modified reagent K cocktail consisting of TFA–thioanisole–ethanedithiol–water–phenol (88:3:5:2:2). The cocktail mixture was filtered through a Quick Snap column, purified by C18 reverse-phase HPLC and lyophilized to afford **9**. The acetyl groups in compound **9** were deprotected by treatment with 6 mM sodium methoxide in methanol.³⁵ The product was purified by Bio-Gel (P-2, fine 45–90 μm) size exclusion chromatography using deionized water as solvent. Lyophilization of the elutants afforded **10** (100%) as a white powder.

Our next challenge was the conjugation of alkyne-functionalized Pam₃Cys derivative **6** with the glycopeptide azide **10**. Our initial efforts to conjugate the alkyne and the azide fragments via a copper-catalyzed “click” reaction using copper sulfate pentahydrate and sodium ascorbate failed. This was surprising to us considering that closely related click conjugation examples have been reported.³⁶ To counteract this problem, we used a Cu (I) stabilizing agent tris[(1-benzyl-1*H*-1,2,3-triazol-4-yl)methyl] amine (TBTA) which is known to increase the yield of copper-catalyzed click reactions significantly by stabilizing the in situ generated Cu(I) intermediate.³⁷

Conjugation of **10** (1 equiv) with **6** (3 equiv) in the presence of copper sulfate pentahydrate (12 equiv), TBTA (12 equiv), and sodium ascorbate (12 equiv) in H₂O–MeOH–THF (1:1:2) as solvent at ambient temperatures afforded our target Pam₃Cys-MUC1-Tn conjugate **11** after 40 h. Compound **11** was purified by LH20 using MeOH–dichloromethane (1:1) as solvent. The eluants were lyophilized to afford **11** as a white solid.

Liposome Formulation and Characterization. For the preparation of the liposomes, we used 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC). The liposomes were formulated by the extrusion method in a total lipid concentration of 30 mM.³⁸ To test specific antibody binding to the surface-displayed Rha and glycosylated MUC1 epitopes,

we prepared three batches of the liposomes. Batch 1 was our positive batch of the liposomes and was formulated with Rha-TEG-cholesterol (10%), Pam₃Cys-MUC1-Tn **11** (0.69 μM), DPPC (80%), and cholesterol (10%). Batch 2 lacked the surface displayed Rha epitopes and was formulated with Pam₃Cys-MUC1-Tn **11** (0.69 μM), DPPC (80%), and cholesterol (20%). Batch 3 was our control and was formulated with only DPPC (80%) and cholesterol (20%). Particle size can be an important modulator of the immune response for neutral liposomes.³⁹ Therefore, the homogeneity, stability, as well as size characterization of the liposomes were evaluated by scanning electron microscope (SEM) imaging (Figure 2) and

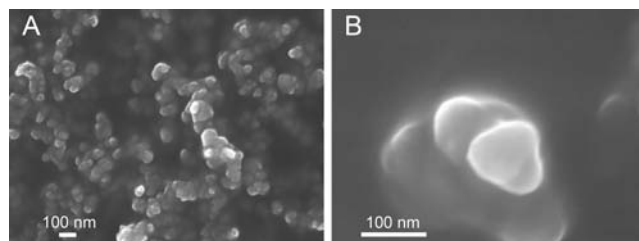


Figure 2. Size characterization of liposomes. SEM images at 5 kV acceleration voltage: (A) Batch 1 liposomes under 50 000× magnification, (B) Batch 1 liposomes under 250 000× magnification.

dynamic light scatter scattering (DLS) measurements (Figure S1, Supporting Information). All batches of liposomes were found to be stable at 4 °C for 2 days and were around 100 nm in diameter. An antibody binding study showed positive binding of the Batch 1 liposomes with both our previously generated anti-Rha antibodies,¹⁵ as well as mouse anti-human-MUC1 (CD 227) antibodies using FITC goat anti-mouse IgG/IgM secondary antibodies and fluorescence imaging of the coated liposomes (Figure 3). The binding assay proved that the Rha and the MUC1-Tn epitopes of each conjugate were displayed on the surface of the liposomes. No such antibody binding (both anti-Rha and anti-human MUC1) was observed for the Batch 3 liposomes. Batch 2 liposomes only demonstrated mouse anti-human-MUC1 antibody binding (not shown).

Synthesis of Rha-Ficoll Conjugate. To evaluate the efficacy of our vaccine in a mouse model, we generated mice with anti-Rha antibodies using a Rha-Ficoll immunization. The naive animals do not contain significant amounts of anti-Rha antibodies.²¹ We were interested in immunizing the mice with a Rha conjugate, which would show a minimal T-dependent immune response. We focused our attention on the synthesis of a Rha-Ficoll conjugate since the carrier, Ficoll, has been reported to be excellent for generating a T-independent immune response.^{40,41} For the synthesis of the Rha-Ficoll conjugate **16** (Scheme 3), tetraacetyl rhamnopyranoside **12** was glycosylated with 2-azido ethanol in the presence of boron trifluoride etherate to generate the peracetylated rhamnose 2-azidoethyl glycoside **13** (83%).^{42,43} Deacetylation of **13** under Zemplén conditions afforded rhamnose 2-azidoethyl glycoside **14** (86%), which was reduced by treatment with Pd/charcoal under H₂ atmosphere to furnish the rhamnose 2-aminoethyl glycoside **15** (quantitative).^{44,45} Commercially available Ficoll 400 was oxidized by sodium periodate in acetate buffer (pH 4.7) followed by conjugation with **15** via reductive amination using sodium cyanoborohydride in borate buffer (pH 8.0) to produce Rha-Ficoll conjugate **16**.⁴⁶ The conjugate was purified

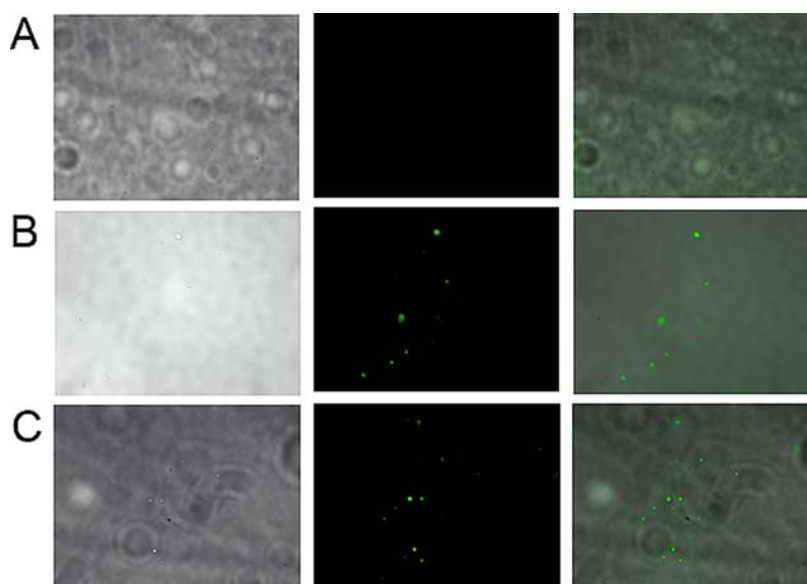
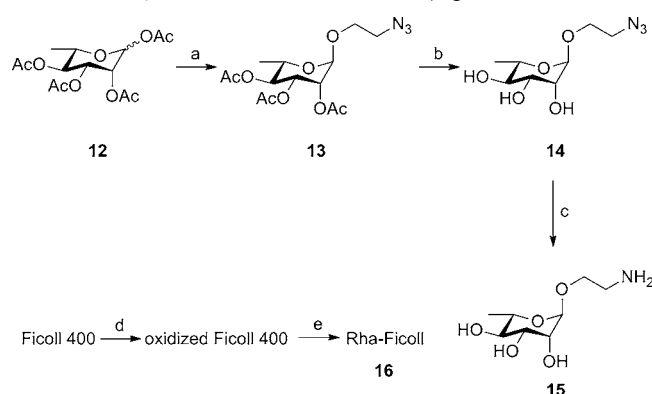


Figure 3. Fluorescence microscope images with Batch 1 liposomes under 60 \times magnification. (A) Images with control antibodies (antibodies isolated from preimmunization serum) 1st, 2nd, and 3rd images: bright-field, FITC, and overlay. (B) Images with anti-Rha antibodies, 1st, 2nd, and 3rd images: bright field, FITC, and overlay; (C) Images with anti-MUC1 antibodies, 1st, 2nd, and 3rd images: bright field, FITC, and overlay.

Scheme 3. Synthesis of Rha-Ficoll Conjugate^a



^aReagents and conditions: (a) 2-azidoethanol, $\text{BF}_3 \cdot \text{OEt}_2$, CH_2Cl_2 , 0 $^\circ\text{C}$; -r.t., 12 h, 83%; (b) NaOMe/MeOH , r.t., 2 h, 86%; (c) $\text{H}_2/\text{Pd}-\text{C}/\text{MeOH}$, 12 h, quantitative; (d) NaIO_4 , acetate buffer, 2 h; (e) 15, borate buffer, $\text{Na}(\text{CN})\text{BH}_3$, 12 h, epitope ratio = 9.44 (Rha per Ficoll molecule).

by filtration through a dialysis tubing with a molecular weight cutoff value of 10 000 Da. The epitope ratio of 16 was calculated to be 9.44 Rha/Ficoll molecule by hydrolysis of 16 followed by derivatization with 4-amino-*N*-[2-(diethylamino)-ethyl] benzamide (DEAEAB) and comparison of the UV-HPLC peak area with standard curve obtained from DEAEAB derivative of 14 by the methods described by Dalpathado and co-workers.⁴⁷

IMMUNOLOGICAL RESULTS AND DISCUSSION

Comparison of Anti-Rha Antibody Titers Generated against Rha-Ficoll and Rha-OVA. The first goal of the immunological study was to immunize mice with the Rha-Ficoll conjugate and elicit anti-Rha antibody titers in order to have a model animal that could simulate the naturally occurring anti-Rha antibodies found in human serum. Two groups of five female BALB/c mice each were immunized on day 0 with Rha-Ficoll/Alum adjuvant (group A) or Rha-OVA/complete

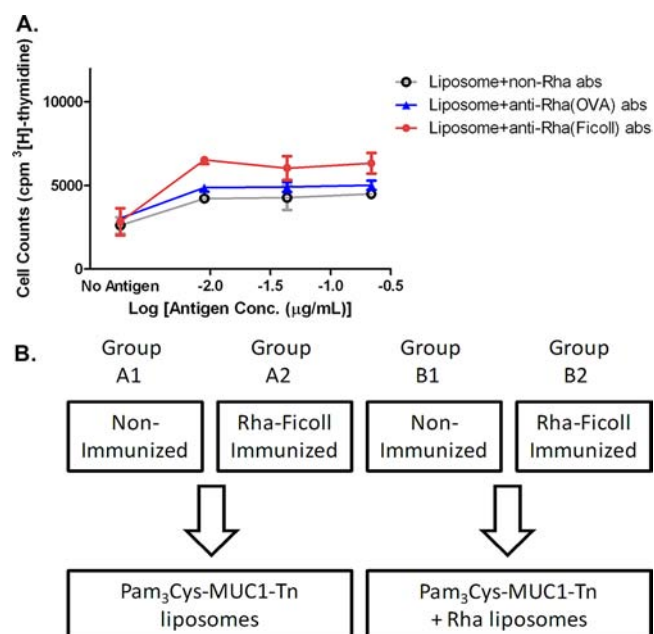


Figure 4. (A) T-cell proliferation measured by [^3H]thymidine incorporation in T-cells from mice spleens primed with MUC1-Tn 10 and challenged with Pam₃Cys-MUC1-Tn 11 + Rha liposomes in the presence of anti-Rha antibodies (abs) or control abs [anti-Rha(OVA) and anti-Rha(Ficoll) abs are the antibodies isolated from the serum of Rha-OVA and Rha-Ficoll immunized mice, respectively]. (B) Stepwise immunization plan. Groups A1, A2, B1, and B2 each represents four groups of female BALB/c mice. Stage I: groups A2 and B2 were immunized with Rha-Ficoll/Alum where as groups A1 and B1 were nonimmunized. Stage II: Vaccination: groups A1 and A2 vaccinated and boosted with Pam₃Cys-MUC1-Tn liposomes where as groups B1 and B2 were vaccinated with Pam₃Cys-MUC1-Tn + Rha liposomes.

Freund's adjuvant (CFA) (group B). The mice were boosted three more times on days 14, 28, and 42 with either Rha-Ficoll/Alum (group A) or Rha-OVA/incomplete Freund's adjuvant

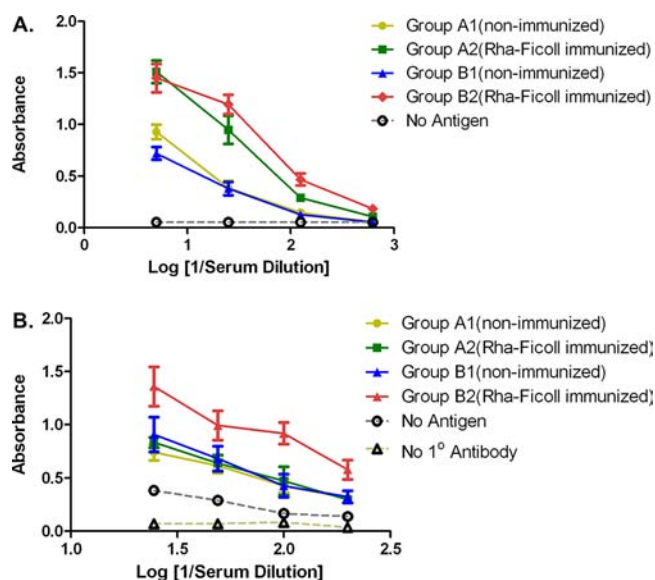


Figure 5. (A) Group average of anti-Rha antibody titers after 4th boost with Rha-Ficoll/Alum. (B) Group average of anti-MUC1-Tn antibody titers after 1st boost with Pam₃Cys-MUC1-Tn liposomes or Pam₃Cys-MUC1-Tn + Rha liposomes.

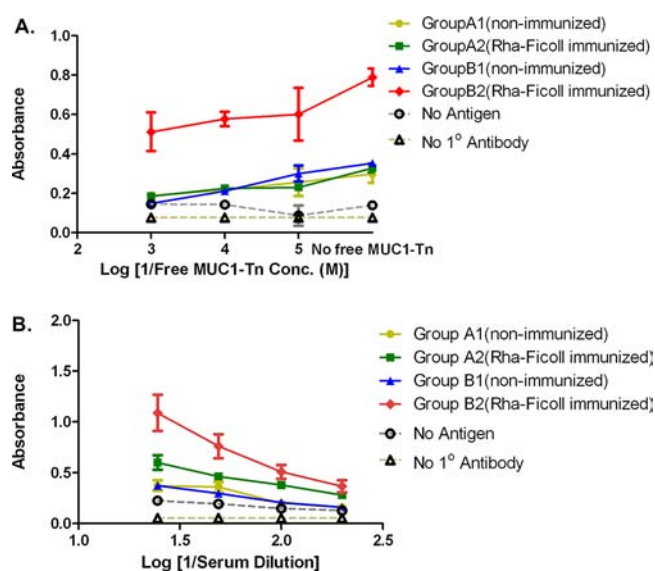


Figure 6. (A) Competitive binding of anti-MUC1-Tn antibodies with bound MUC1-Tn in the presence of free MUC1-Tn 10. (B) Group average of anti-Tn antibody titer after 1st boost with Pam₃Cys-MUC1-Tn liposomes or Pam₃Cys-MUC1-Tn + Rha liposomes.

(ICF) (group B). Sera was collected separately from groups A and B after the third boost and the anti-Rha antibodies in the sera from the two groups of mice were isotyped by screening against Rha-BSA (Figure S2, Supporting Information). The results demonstrated the anti-Rha antibody titers in the Rha-OVA immunized mice groups were 100-fold higher than those from the Rha-Ficoll immunized mice. However, the isotype distribution confirmed that Rha-Ficoll and Rha-OVA produced the anti-Rha antibody subclasses in different proportions. Anti-Rha antibodies from Rha-OVA immunization were dominated by IgG1 (65%), while Rha-Ficoll immunization produced antibodies which comprised mainly of IgG3 (48%) and IgM (25%). IgG1 and IgG3 act similarly in that they both stimulate high-affinity FcγRI receptors which trigger responses from

macrophages.⁴⁸ However, IgG1 also stimulates low-affinity FcγRIIB receptors which inhibit the signals from the FcγRI and B cell receptors thereby diminishing B-cell activity and immunogenicity of macrophages.⁴⁹ On the other hand, the anti-Rha antibody isotypes from Rha-Ficoll immunized mice serum resemble those naturally occurring in the human serum which is presumed to be generated through a T-independent response. Thus, we anticipated that initial immunization with Rha-Ficoll prior to the vaccine challenge will be a more realistic animal model for the human.

T-Cell Proliferation Study. T-cell proliferation assays were performed to determine if the combination of anti-Rha antibodies and Rha-modified liposomal vaccine would potentiate a T-cell proliferative response. In the first part of the study, we optimized the proliferation assay conditions. BALB/c mice were immunized (day 0) and boosted (days 14, 28, and 42) with 100 μL emulsions of MUC1-Tn 10/Sigma adjuvant system (SAS) (50 μg peptide per mouse, each injection). The mice were sacrificed (day 49), the spleens were removed and single cell suspensions were prepared and incubated with MUC1-Tn (8.8×10^{-3} – $1.1 \mu\text{g/mL}$) alone or with syngeneic bone marrow dendritic cells (DCs) previously pulsed with the same doses of antigen. We observed that DCs enhanced proliferation (Figure S3, Supporting Information), as had been previously observed for C57BL/6 mice.¹² To test the ability of anti-Rha antibodies to enhance antigen presentation, spleen cells from BALB/c mice immunized as above were prepared. DCs from BALB/c bone marrow (Supporting Information) were pulsed with the antigen by incubating with Pam₃Cys-MUC1-Tn + Rha liposomes at antigen concentrations of 8.8×10^{-3} – $0.22 \mu\text{g/mL}$ together with antibodies isolated from either Rha-Ficoll or Rha-OVA immunized mice or nonimmune mice. The pulsed DCs were added to the spleen cells and proliferation assessed after 3 days. The spleen T-cells proliferated better in the presence of anti-Rha antibodies (from both Rha-Ficoll and Rha-OVA immunized mice serum) than in the presence of control serum antibodies over the antigen concentration range of 8.8×10^{-3} – $0.22 \mu\text{g/mL}$ (Figure 4A). Also, the T-cell proliferation was higher in the presence of anti-Rha antibodies generated against Rha-Ficoll (6328, 6045, and 6521 counts per minute (cpm) at antigen concentrations of 8.8×10^{-3} , 0.044, and $0.22 \mu\text{g/mL}$) than those against Rha-OVA (5018, 4926, and 4880 cpm at antigen concentrations of 8.8×10^{-3} , 0.044, and $0.22 \mu\text{g/mL}$), even though the titer of anti-Rha antibodies was higher in the serum of Rha-OVA immunized mice. The results strongly suggest that the Rha-modified antigen was more effectively internalized and presented by the APCs in the presence of anti-Rha antibodies, particularly those less-inhibitory isotypes characteristic of natural antibodies and generated by Rha-Ficoll immunization. Therefore, we concluded that BALB/c mice in which anti-Rha antibodies are generated with Rha-Ficoll 16 immunization will be an appropriate model for the immunogenicity of the Rha-conjugated MUC1-Tn liposomes.

Anti-Rha Antibody Generation. Four groups of five female BALB/c mice each (groups A1, A2, B1, and B2) (6–8 weeks old) were used for this vaccination study. Groups A2 and B2 were immunized (day 0) and boosted (days 14, 28, 42, and 56) with 100 μL equivolume emulsion of Rha-Ficoll (prepared in PBS) and alum adjuvant. Groups A1 and B1 served as the control groups and were deprived of the Rha-Ficoll/Alum immunization (Figure 4B). The mice were bled on day 66 and the ELISA performed by screening the sera from the different

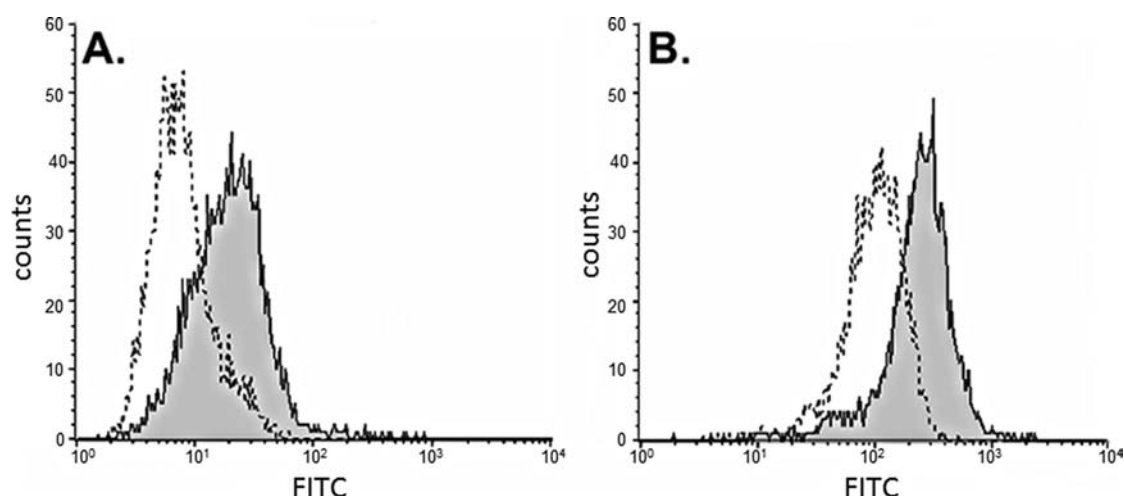


Figure 7. Binding of anti-MUC1-Tn antibodies to human leukemia U266 cells. (A) 2nd antibody alone, ----; with mouse antihuman MUC1 antibodies, —. (B) With 1/5 dilution of nonimmunized mouse serum, ----; with 1/5 dilution of group B2 mice serum, —.

groups against Rha-BSA showed that the anti-Rha antibody titers in groups A2 and B2 were 25-fold higher than the control groups (Figure 5A). Thus, immunization with Rha-Ficoll confirmed the generation of anti-Rha antibodies in the experimental groups of mice.

Vaccination with Rha and Non-Rha-Displaying MUC1-Tn Liposomes. Two separate liposomal formulations were prepared. The first contained DPPC, cholesterol, and Pam₃Cys-MUC1-Tn **11** (2 nmol) (Pam₃Cys-MUC1-Tn liposomes) and the second contained DPPC, cholesterol, Rha-TEG-cholesterol **3**, and Pam₃Cys-MUC1-Tn **11** (2 nmol) (Pam₃Cys-MUC1-Tn + Rha liposomes). In both formulations, the total lipid concentration was 30 mmol. The vaccination was performed on day 77. Groups A1 and A2 were given 100 μ L subcutaneous injections of the Pam₃Cys-MUC1-Tn liposomes (2 nmol of peptide per mouse) and groups B1 and B2 were given 100 μ L subcutaneous injections of the Pam₃Cys-MUC1-Tn + Rha liposome (2 nmol peptide per mouse). The mice were boosted on day 91 with either the Pam₃Cys-MUC1-Tn liposome (groups A1 and A2, 2 nmol peptide per mouse) or the Pam₃Cys-MUC1-Tn + Rha liposome (groups B1 and B2). The mice were bled on day 101 and the sera evaluated for anti-MUC1-Tn and anti-Tn antibodies (Figure 5B).

Anti-MUC1-Tn antibody titers were determined by screening the sera against the MUC1-Tn conjugate **10** (Figure 5B). The data showed that groups A1, A2, and B1 had similar absorbance at 1/25, 1/50, 1/100, and 1/200 serum dilutions. This proved that prior immunization with Rha-Ficoll does not affect the response to a non-Rha conjugated vaccine (groups A1 and A2). In addition, the Rha epitopes on the vaccine do not alter the inherent immunogenicity of the MUC1-Tn epitopes on the vaccine (groups A1 and B1). The anti-MUC1-Tn titers for group B2 showed an 8-fold increase compared to groups A1, A2, and B1 which was mediated by the anti-Rha antibody-dependent antigen-uptake. Group B2 had an anti-MUC1-Tn titer of approximately 1/300, where titer is defined as the highest dilution giving a signal >0.1 above background. The anti-MUC1-Tn antibodies from each group were isotypized (Figure S4, Supporting Information), which showed that group B2 showed an increase in IgG1, IgG2a, IgG2b, and IgM isotypes relative to the other 3 groups. The specificity of the antibodies toward MUC1-Tn antigen was determined by a competitive binding experiment (Figure 6A). Serum from every

group at 1/100 dilution was incubated with the MUC1-Tn conjugate **10** at concentrations of 0, 10^{-5} , 10^{-4} , and 10^{-3} M in 0.01 M PBS prior to addition in the ELISA plates coated with the conjugate **10**. The absorbances decreased uniformly with increasing concentrations of free MUC1-Tn in the serum dilutions for each group. As an example, the absorbances at 620 nm for the serum dilution of group B2 at free MUC1-Tn concentrations of 0, 10^{-5} , 10^{-4} , and 10^{-3} M were 0.790, 0.601, 0.577, and 0.512, respectively. These results confirmed the specificity of the anti-MUC1-Tn antibodies toward the respective antigen.

The antibody titer generated solely against the TACA was determined by screening serum dilutions from every group against a Tn-BSA conjugate (Figure 6B).²¹ Here also, we observed a >8-fold increase in the anti-Tn antibody titers for group B2 in comparison to groups A1, A2, and B1 which was again attributed to the better uptake of the antigen in the presence of the anti-Rha antibodies by an antibody-dependent antigen-uptake mechanism. An interesting finding of this study was that the anti-MUC1-Tn antibody titers were higher than the corresponding anti-Tn antibody titers for the same serum dilutions for every group, assuming similar levels of antigen on the plate. As an example, for the group B2 the absorbances at 620 nm for the anti-MUC1-Tn and the anti-Tn measurements at 1/100 serum dilutions were 0.922 and 0.509, respectively. This observation demonstrated that the Rha-displaying MUC1-Tn vaccine successfully generated antibodies against both the MUC1 peptide and the TACA.

The ability of the anti-MUC1-Tn antibodies in the vaccinated mice serum to bind to MUC1-Tn on human tumor cells was demonstrated with U266 human leukemia cells. These cells express MUC1 on their surface as shown by binding with mouse antihuman MUC1 antibodies (CD 227) (Figure 7A). Serum from group B2 mice also recognized the MUC1 on the tumor cells with similar efficiency as the CD 227 antibodies relative to nonimmunized mouse serum (Figure 7B). This demonstrates that the antibodies generated against the glycopeptide recognize the MUC1 protein in its native environment.

CONCLUSION

In conclusion, a fully synthetic two-component vaccine containing the lipopeptide adjuvant Pam₃Cys appended to a

20-amino-acid MUC1 peptide containing the TACA GalNAc-O-Thr (Tn) was synthesized and was successfully formulated into liposomes along with an Rha cholesterol conjugate. The resulting liposomes were homogeneous in size and were stable at 4 °C for two days. Binding studies with both anti-Rha and mouse anti-human MUC1 antibodies revealed that the Rha and the MUC1 glycopeptide epitopes were surface displayed on the liposomes. A Rha-Ficoll conjugate was synthesized for the generation of anti-Rha antibodies in mice. The in vitro proliferation of MUC1-Tn primed mice spleen T-cells showed increased proliferation to Rha-liposomes in the presence of antibodies from Rha-Ficoll immunized mice relative to nonimmune mice. Vaccination studies with Rha- and non-Rha-displaying MUC1-Tn liposomes in mice either nonimmunized or immunized with Rha-Ficoll illustrated that anti-MUC1-Tn and anti-Tn antibodies were >8-fold higher in the groups of mice previously immunized with Rha-Ficoll and later vaccinated with the Pam₃Cys-MUC1-Tn + Rha liposomes. The anti-MUC1-Tn antibodies in the serum of the vaccinated mice recognized the aberrant MUC1 on human leukemia U266 cells. Overall, this vaccine successfully triggered both T-cell and humoral immunity enhanced by anti-Rha antibody-dependent antigen uptake. Because this vaccine uses separate rhamnose and antigenic epitope components, the vaccine can easily be targeted to different antigens or epitopes by changing the peptide without having to change the other components.

■ ASSOCIATED CONTENT

■ Supporting Information

Experimentals for comparison of anti-Rha antibodies generated against Rha-Ficoll versus Rha-OVA and the T-cell proliferation study to test the T cell response in BALB/c mice against the MUC1-Tn glycopeptide. Copies of ¹H, ¹³C, ¹H gcsoy NMR spectras, and HRMS data of compounds **2**, **3**, **5**, **6**, **13**, and **14**, MALDI-TOF spectra of **9**, **10**, and **11**, and ESIMS of **15**. DLS measurement graphs for batch 1 and 2 liposomes and buffer. Figures of anti-Rha and anti-MUC1-Tn antibody isotype titers and T-cell proliferation in BALB/c mice with MUC1-Tn peptide in the presence and absence of dendritic cells. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

This work was supported in part by an Interdisciplinary Research Initiation grant from The University of Toledo and a grant from the National Institutes of Health (grant number GM094734) to S.J.S. and K.A.W. SEM data were obtained on instrumentation acquired through National Science Foundation grant number 0840474.

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